



FIRST 5 SANTA CLARA COUNTY COMMISSION WORKSHOP/MEETING

Meeting Date: June 18, 2020 **Submitted By:** Tracey L. Hause, Chief of Finance and Administration

Action: X **Discussion:**

Selection Process: RFP RFQ Sole Source Not Applicable X

TITLE:

Consider Approval of the Updated Ten-Year Sustainability Plan.

RECOMMENDED ACTION:

Approve the update to the Ten-Year Sustainability Plan and incorporate into the FIRST 5 Strategic Plan to be submitted to First 5 California.

SUMMARY OF REQUEST:

This item provides an update of the 10-Year Sustainability Plan, as presented in Item 4.2 Attachment C.

BACKGROUND:

The update to the 10-Year Sustainability Plan is an annual review based on the Commission’s Strategic Plan.

FISCAL IMPACT:

Update to the 10-Year Sustainability Plan is in alignment with the proposed FY 2020/21 Budget.

ATTACHMENT:

Refer to Item 4.2, Attachment C



FIRST 5 SANTA CLARA COUNTY COMMISSION WORKSHOP/MEETING

Meeting Date: June 18, 2020 **Submitted By:** Tracey L. Hause, Chief of Finance and Administration
Action: X **Discussion:** _____
Selection Process: RFP _____ RFQ _____ Sole Source _____ Not Applicable X

TITLE:

Consider Recommendations Relating to the Adoption of the Proposed FY 2020/21 Budget.

RECOMMENDED ACTIONS:

- a. Adopt a resolution of the Commission of FIRST 5 Santa Clara County adopting the budget for operations and appropriating revenue for fiscal year 2020/21 and finding that FIRST 5 Santa Clara County is in compliance with the Annual Budget Policy.
- b. Adopt a resolution of the Commission of FIRST 5 Santa Clara County adopting the budget for community investments and appropriating revenue for fiscal year 2020/21 and finding that FIRST 5 Santa Clara County is in compliance with the Annual Budget Policy.

SUMMARY OF REQUEST:

This item provides for a spending plan for operations for FY 2020/21 (Attachment B).

BACKGROUND:

Budget Overview

FIRST 5 Santa Clara County (FIRST 5) is pleased to present the proposed FY 2020/21 Budget. The purpose of an annual budget is to provide a blueprint for spending on Commission priorities. The FY 2020/21 Budget strives to increase transparency and improve the accuracy of financial projections. Based on Commission policy, a budget is developed and approved on an annual basis, committing the resources to carry out its strategies and initiatives.

The proposed FY 2020/21 Budget is made up of two primary components; Operations and Community Investment (Grant Awards). The Operations Budget of \$10,735,583 encompasses all costs related to the management of the Commission, including staff costs, services and supplies, and fixed expenses including facilities. The action requested in this Agenda Item is to recommend to the Commission adoption of the Operations Budget. The Community Investment Budget of \$21,955,160 includes all the

proposed investments with FIRST 5's grantees and partners for the next fiscal year. Recommendation for adoption to the Commission is requested in a subsequent action. The total proposed FY2020/21 Budget is \$32,708,743, an increase of less than .01% (\$157,971) of the adopted FY 2019/20 Budget, as amended, of \$32,570,772

The Chief Executive Officer has authority to execute contracts of \$100,000 or less. Any contract over \$100,000 requires Commission approval.

The following contracts are in Operations and require Commission approval:

Persimmony International for Contract and Evaluation Administration - \$133,037;
ASR for Evaluation - \$500,000; and
USWired for Information Technology Consulting Services - \$150,000.

Budget Development

During these challenging and unprecedented times, in order to ensure continuity and consistency with our partners, we are recommending no changes in the Operations and Community Investment Budget from the previous year, occur at this time. While the 2019/20 Sustainability Plan recommended a 3% decrease in the Community Investments, anticipated contract savings with our Community Partners due to primarily changes in scopes of work as a result of Covid 19 and provided relief to salaries and benefits as a result of the Paycheck Protection Program ("PPP"), we are not recommending a 3% decrease at this time.

FIRST 5' Continue to focus on leveraging funds and creating systems change is critical to the sustainability plan, as revenue from the Proposition 10 tobacco tax is expected to continue declining approximately three to four percent per year. In addition, FIRST 5 draws down revenue from its reserves (Ending Balance) each year as part of a planned strategy to invest available resources into community programs and services as outlined in the 10-Year Sustainability Plan (Attachment B).

In light of the fiscal reality of a declining fiscal capacity, a comprehensive analysis of year end revenues and expenditures was completed in an effort to arrive at an accurate estimate of the Ending Balance as of June 30, 2020, of \$44,978,207. Therefore, the revenue and expenses for the current fiscal year (FY 2019/20) and in the 10 - Year Sustainability Plan, are expected revenues and expenditures for the fiscal year based on actual, current activity year-to-date, not budgeted amounts formulated and adopted last June, and subsequently amended. These up-to-date estimates provides a springboard for the development the FY 2020/21 and for projections years beyond, i.e. 10-Year Sustainability Plan.

Further, in an integrated, collaborative approach internally, staff from both programs and operations were invited and participated in the development of all components of the budget. Continued collaboration and communication will occur throughout the fiscal year to ensure Departments understand the on-going fiscal picture of their respective programs and operations.

Revenues

- Proposition 10 Revenue - The tobacco tax, the main source of income for FIRST 5 has been projected based upon the estimate provided by the California Department of Finance (as of May 2020) through FY 2024/25; thereafter staff's recommendation of a 3.0% reduction, based on historical actual reductions, per year is used. Proposition 10 revenue projections for all First 5 Agencies is attached for reference (Attachment C).
- Interest Income - Interest income is estimated based upon the 1.5% of the Ending Balance in the prior fiscal year, FIRST 5's current return on its investment portfolio.
- A major revenue is from the Medi-Cal Administrative Activities (MAA). This is a federal reimbursement program administered by the State Department of Health Care Services (DHCS) through the County of Santa Clara. MAA reimburses a portion of public expenditures made by government agencies, such as public health and probation and community bases organizations, which are directed towards the performance of MAA activities.
- Third Party Grants and Revenue -Third party grants and revenue (Attachment D) are estimated based upon information provided by grantors and these resources are utilized to fund a portion of the FIRST 5's Community Investments. For the, FY 2020/21 revenues are expected to be \$6,341,171. These include grants made by First 5 California, County of Santa Clara and California Department of Education, as well as foundation awards from the Packard Foundation, Silicon Valley Community Foundation and Sunlight. Only awards already expected or likely to be expected are included in the FY 2020/21 and the 10-Year Sustainability Plan. It is possible other grants will be received during this fiscal year and in the future, however dollar amounts, and timing of receipt are not known at this time. When the FIRST 5 becomes aware of additional granting opportunities, staff will return to the Commission with the appropriate recommended budget adjustments.
- Other Revenue - Other revenues include lease income, third party grant overhead allocations and Potter the Otter book sales. FIRST 5 leases office space on the first floor of the FIRST 5 facility located at 4000 Moorpark Avenue and receives approximately \$140,000 annually in lease revenue.

FIRST 5 also produces a series of Potter the Otter books to promote healthy habits and highlight key early childhood topics such as drinking water, visiting the dentist, and preparing for Kindergarten. The books are distributed free to partners within Santa Clara County, and are sold outside the county through an online store front (shop.pottertheotter.com). Customers include other First 5's throughout the state, WIC offices, Departments of Public Health, and other private and public partners throughout the country and beyond.

Expenses

Operations

The total proposed FY 2019/20 Operations Budget is \$10,735,583. This represents an increase of \$371,132 (or 3.6%) from last year's budgeted operations, as amended, of \$10,364,449. The primary reason for this increase in operations is a cost of living salary adjustments in operations.

Employee salaries and benefits have increase overall by 3.9% This budget includes salary adjustments in accordance with our salary guidelines and increases in benefit costs for increases primarily for health insurance premiums. Approximately \$1,517,100 of the \$7,377,750 approximately 20%, of salaries and benefits are funded by third party grants and revenues.

Services and Supplies total budget is \$3,357,832, an increase of \$90,010 or 2.75%.

The Operations Budget is categorized and reported in the 10-Year Sustainability Plan as follows:

- Program Costs - \$6,965,552 - Costs incurred by the Commission readily assignable to a program or service provider and/or in the execution of a direct service;
- Evaluation Costs – \$603,920 - Costs incurred by the Commission in the evaluation of funded programs based on their accountability framework, data collection and evaluation for required reporting to the state and local stakeholders; and
- Administration –\$2,932,560 - Costs incurred in support of the general management and administration of a First 5 commission. Consistent with State law and best practice, the FIRST 5 adopted a written policy in 2006 defining administrative costs and set a ten percent (10%) limit on the percentage of the Commission's annual operating budget that may be spent on administrative functions. Administrative costs are within this limit.

Community Investments

The Community Investment Budget is \$21,955,160 (Attachment E); \$431,163 (or .02%) less than last year of \$22,386,323, as amended.

The Commission has adopted a strategic framework that guides FIRST 5's continuing community investments and partnerships. Priority areas include:

- Health and Wellness
- Family Strengthening & Support;
- Early Learning;
- Courts and Child Welfare
- Community of Learning; and
- Communications.

10-Year Sustainability Plan

In looking forward more long-term, FIRST 5 10-year Sustainability Plan FY 2020/21 through FY 2029/30 provides a framework to guide the FIRST 5's investments and ensure that allocations are aligned with FIRST 5's priorities, as outlined in the Strategic Plan. Revenue and expense projections in this 10-Year Sustainability Plan utilize assumptions based on information known at this time and projections will change over time as updated data is received. Further, the 10-year Sustainability Plan does not refer to specific programs and initiatives and does not contractually obligate the Commission in the future, but rather provides a snapshot of the projected trends in Revenue, Expenses, and the Beginning and Ending Balances over a 10-year period. Financial projections will be reviewed and updated annually as part of the annual budget process.

Acknowledgements

The FY 2020/21 Budget was prepared through interdepartmental work by the FIRST 5 staff. We would like to thank the Board of Commissioners and the Personnel and Finance Committee for their continued support and interest in ensuring continued funding of programs that make for healthier lives for the children of Santa Clara County.

FISCAL IMPACT:

Recommended adoption of the FY 2020/21 Operations Budget will provide a spending plan for operations for the next fiscal year.

ATTACHMENTS:

- 4.2A** Resolution No. 20-13, approving the FY 2020/21 Operations Budget
- 4.2B** FY 2020/21 Operations Budget
- 4.2C** FY 2020/21 10-Year Sustainability Plan
- 4.2D** Proposition 10 Revenue Projections
- 4.2E** FY 2020/21 Third Party Grants
- 4.2F** Resolution No. 20-14, approving the FY 2020/21 Community Investment Grant Awards
- 4.2G** FY 2020/21 Community Investment Grant Award Recommendations Summary

RESOLUTION NO. 20-13

**A RESOLUTION OF THE FIRST 5 SANTA CLARA COUNTY COMMISSION
ADOPTING THE BUDGET FOR OPERATIONS AND APPROPRIATING
REVENUE FOR FISCAL YEAR (FY) 2020/21 AND FINDING THAT FIRST 5
SANTA CLARA COUNTY IS IN COMPLIANCE WITH THE ANNUAL
BUDGET POLICY**

WHEREAS, On October 12, 2017, the FIRST 5 Santa Clara County Commission adopted an Annual Budget Policy by Resolution No. 17-01.

WHEREAS, in accordance with Resolution No. 17-01, Annual Budget Policy, the Chief Executive Officer has prepared and submitted to the FIRST 5 Santa Clara County Commission a proposed budget for FY 2020/21, starting July 1, 2020; and utilizing the most accurate available income estimates and the most feasible combination of expenditure classification by fund, organization unit, program, purpose or activity and object;

NOW, THEREFORE, the FIRST 5 Santa Clara County Commission does hereby resolve, determine and order as follows:

SECTION 1. That certain document, which includes all revenues and appropriations on file in the office of the Chief of Finance and Administration, being marked and designated "Fiscal Year (FY) 2020/21 Operations Budget," as prepared by Chief Executive Officer and amended by the FIRST 5 Santa Clara County Commission, is adopted for the fiscal year commencing July 1, 2020;

SECTION 2. The following sums of money are hereby appropriated from the revenue of FIRST 5 Santa Clara County to the following named programs and activities of FIRST 5 Santa Clara County for expenditure during the FY 2020/21; and

SECTION 3. From and after the effective date of the budget, the several amounts stated therein as proposed expenditures, shall be deemed appropriated to the several departments, offices and agencies for the respective objects and purposes therein stated. All appropriations shall lapse at the end of the fiscal year to the extent that they shall not have been expended or lawfully encumbered.

BUDGET APPROPRIATIONS

PROGRAM	TOTAL EXPENDITURES
Salaries and Wages	\$ 5,259,359
Benefits	\$ 2,118,391
Administration – General Services	\$ 1,000,426
Administration – Business Services	\$ 70,089
Administration – Program	\$ 274,304
Media/Communications	\$ 903,497
Family Strengthening & Support	\$ 103,568
Health and Wellness	\$ 83,097
Evaluation	\$ 603,920
Community of Learning	\$ 83,777
Early Learning	\$ 235,154

SECTION 4. The budget as approved shall be filed with the office of the Chief of Finance and Administration, and copies shall be made available for public review.

APPROVED AND ADOPTED at a regular meeting of the FIRST 5 Santa Clara County Commission held on this 18th day of June, 2020 by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

Chairperson

APPROVED AS TO FORM:

Deputy County Counsel

First 5 Santa Clara County

FY 2020/21

Proposed Operation Budget

Attachment 4.2B

	FY 19/20 Approved Budget	FY 20/21 Proposed Budget	Delta Δ	%	Comments
Authorized Positions	52	58	6	11.54%	
<u>SALARIES & BENEFITS</u>					
Salaries & Wages	\$ 5,029,651	\$ 5,259,359	\$ 229,708	4.57%	
*Benefits	\$ 2,066,976	\$ 2,118,391	\$ 51,415	2.49%	
TOTAL SALARIES & BENEFITS	\$ 7,096,627	\$ 7,377,750	\$ 281,123	3.96%	Approximately \$1,517,100 (20%) will be funded by Third Party Grant Awards
<u>SERVICES & SUPPLIES</u>					
Admin- General Services	\$ 942,516	\$ 1,000,426	\$ 57,910	6.14%	
Admin- Business Services	\$ 70,089	\$ 70,089	\$ -	0.00%	
Admin- Program	\$ 274,304	\$ 274,304	\$ -	0.00%	
Media/Communications	\$ 899,997	\$ 903,497	\$ 3,500	0.39%	
Family Strengthening & Support	\$ 103,568	\$ 103,568	\$ -	0.00%	
Health and Wellness	\$ 73,097	\$ 83,097	\$ 10,000	13.68%	
Evaluation	\$ 603,920	\$ 603,920	\$ -	0.00%	
Community of Learning	\$ 83,777	\$ 83,777	\$ -	0.00%	
Early Learning	\$ 216,554	\$ 235,154	\$ 18,600	8.59%	
TOTAL SERVICES & SUPPLIES	\$ 3,267,822	\$ 3,357,832	\$ 90,010	2.75%	
TOTAL	\$ 10,364,449	\$ 10,735,583	\$ 371,133	3.58%	

* Benefits included: Medical Benefit Waiver, Dependent Care, Medical Insurance, Dental Insurance, Vision Insurance, Life, Disability, AD&D Ins, Long Term Care, Employer Supplemental Contribution, Retirement, Employer Matching Contribution, Section 125 Expenses, Section 105 Expenses, FICA, Unemployment Insurance and Workers Compensation.

Admin: Administrative expenses related to services, supplies, training, travel, contractual service and facility.

FIRST 5 SANTA CLARA COUNTY
10 Year Sustainability Plan

Attachment 4.2C

FY 2020/2021 10-YEAR SUSTAINABILITY PLAN	FY 2017/2018 ACTUAL	FY 2018/2019 ACTUAL	FY 2019/2020 ESTIMATED	FY 2020/2021 PROJECTED	FY 2021/2022 PROJECTED	FY 2022/2023 PROJECTED	FY 2023/2024 PROJECTED	FY 2024/2025 PROJECTED	FY 2025/2026 PROJECTED	FY 2026/2027 PROJECTED	FY 2027/2028 PROJECTED	FY 2028/2029 PROJECTED	FY 2029/2030 PROJECTED
Beginning Balance	57,118,284	52,705,254	49,107,123	44,978,207	38,385,702	32,011,735	25,544,224	19,897,349	15,717,167	12,998,193	11,618,072	11,595,638	12,931,886
Revenues:													
Prop10	13,597,197	14,234,779	14,571,180	13,967,885	13,009,617	12,355,094	11,773,295	11,459,868	11,116,072	10,782,590	10,459,112	10,145,339	9,840,979
Interest Income	112,330	2,286,511	996,000	674,673	575,786	480,176	383,163	298,460	235,758	194,973	174,271	173,935	193,978
Rental Income	143,051	175,062	133,188	137,184	141,299	145,538	149,904	154,401	159,033	163,804	168,719	173,780	178,994
MAA Income	1,162,751	2,156,111	2,233,150	1,250,000	1,250,000	1,250,000	1,250,000	1,250,000	1,250,000	1,250,000	1,250,000	1,250,000	1,250,000
Sale of Potter Books	217,531	234,814	180,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Other Income	303,684	580	500	500	500	500	500	500	500	500	500	500	500
Subtotal General Revenues	15,536,544	19,087,857	18,114,018	16,230,242	15,177,202	14,431,308	13,756,863	13,363,230	12,961,363	12,591,867	12,252,602	11,943,553	11,664,450
Third Party Grants	4,995,154	5,428,297	7,023,348	6,341,171	6,341,171	5,598,903	5,598,903	5,598,903	5,598,903	5,598,903	5,598,903	5,598,903	5,598,903
Total Revenue	20,531,698	24,516,154	25,137,366	22,571,413	21,518,373	20,030,212	19,355,766	18,962,133	18,560,266	18,190,771	17,851,505	17,542,457	17,263,354
Expenses:													
Programs (Operations)	4,125,528	4,981,319	6,751,708	6,965,551	6,617,273	6,286,410	5,972,089	5,374,880	4,837,392	4,353,653	3,918,288	3,526,459	3,173,813
Evaluation (Operations)	★ 440,236	490,830	★ ★ 603,920	★ ★ 603,920	573,724	545,038	517,786	466,007	419,407	377,466	339,719	305,747	275,173
Administration (Operations)	2,608,859	2,649,626	2,882,280	2,932,560	2,785,932	2,646,635	2,514,304	2,262,873	2,036,586	1,832,927	1,649,635	1,484,671	1,336,204
Community Investments	17,770,104	19,992,510	19,028,375	18,661,886	17,915,411	17,019,640	15,998,462	15,038,554	13,985,855	13,006,845	11,966,298	10,889,331	9,909,291
Total Expenses	24,944,727	28,114,285	29,266,283	29,163,917	27,892,340	26,497,723	25,002,640	23,142,315	21,279,240	19,570,892	17,873,939	16,206,208	14,694,481
Ending Balance	52,705,255	49,107,123	44,978,207	38,385,702	32,011,735	25,544,224	19,897,349	15,717,167	12,998,193	11,618,072	11,595,638	12,931,886	15,500,759
ASSUMPTIONS:													
Revenue-Prop 10 Project	-10.87%	4.69%	2.36%	-4.14%	-6.86%	-5.03%	-4.71%	-3.00%	-3.00%	-3.00%	-3.00%	-3.00%	-3.00%
Revenue- Interest 1.5% of fund balance	0.20%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%
Revenue-Rent Increase prior year by 3%	1.37%	22%	3%	3%	3%	3%	3%	3%	3%	3%	3%	3%	3%
Revenue-GRANTS	8.53%	8.67%	29.38%	-25.00%	0.00%	-11.71%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Expense- PROGRAMS	10.42%	20.74%	35.54%	-5.00%	-5.00%	-5.00%	-5.00%	-10.00%	-10.00%	-10.00%	-10.00%	-10.00%	-10.00%
Expense-EVALUATION	-28.74%	11.49%	23.04%	-5.00%	-5.00%	-5.00%	-5.00%	-10.00%	-10.00%	-10.00%	-10.00%	-10.00%	-10.00%
Expense-Admin	14.85%	1.56%	8.78%	-5.00%	-5.00%	-5.00%	-5.00%	-10.00%	-10.00%	-10.00%	-10.00%	-10.00%	-10.00%
Expense**COMMUNITY INVESTMENTS	-1.45%	12.51%	-4.82%	-3.00%	-4.00%	-5.00%	-6.00%	-6.00%	-7.00%	-7.00%	-8.00%	-9.00%	-9.00%
* Reconciles to audit after deducting non spendable and restricted fund balances													
* Percentages will strategically be reassessed annually based on updated assumptions													

★ Evaluation activities transitioned from internal staff to contractual services.
★ ★ Additional evaluation services partially funded by third party grants.

FIRST 5 CALIFORNIA COUNTY TAX REVENUE PROJECTIONS FOR 2018-19 THROUGH 2024-25
 UTILIZING DOF MAY REVISE 2020 TOBACCO TAX PROJECTIONS AND
 DOF BIRTH PROJECTIONS FOR CALIFORNIA STATE AND COUNTIES 1990-2040
 UPDATED MAY 21, 2020

Attachment 4.2D

	COUNTY	2017 Births ¹	2017 Birthrate	2019-20 TAX REVENUE PROJECTION	2018 Births ¹	2018 Birthrate	2020-21 TAX REVENUE PROJECTION	2019 Births ¹	2019 Birthrate	2021-22 TAX REVENUE PROJECTION	2020 Births ¹	2020 Birthrate	2022-23 TAX REVENUE PROJECTION	2021 Births ¹	2021 Birthrate	2023-24 TAX REVENUE PROJECTION	2022 Births ¹	2022 Birthrate	2024-25 TAX REVENUE PROJECTION
1	Alameda	18,896	4.005%	\$12,437,865	18,225	4.012%	\$11,969,940	18,875	4.065%	\$11,765,441	18,130	3.963%	\$11,161,386	17,796	3.919%	\$10,753,865	17,708	3.918%	\$10,478,538
2	Alpine	9	0.002%	\$5,924	10	0.002%	\$6,568	6	0.001%	\$3,740	4	0.001%	\$2,463	8	0.002%	\$4,834	9	0.002%	\$5,326
3	Amador	304	0.064%	\$200,101	305	0.067%	\$200,320	327	0.070%	\$203,830	325	0.071%	\$200,080	357	0.079%	\$215,730	355	0.079%	\$210,068
4	Butte	2,389	0.506%	\$1,572,505	2,419	0.533%	\$1,588,767	2,394	0.516%	\$1,492,263	2,265	0.495%	\$1,394,404	2,307	0.508%	\$1,394,087	2,385	0.528%	\$1,411,301
5	Calaveras	422	0.089%	\$277,772	375	0.083%	\$246,295	370	0.080%	\$230,634	478	0.104%	\$294,271	439	0.097%	\$265,281	457	0.101%	\$270,425
6	Colusa	293	0.062%	\$192,861	267	0.059%	\$175,362	284	0.061%	\$177,027	305	0.067%	\$187,767	305	0.067%	\$184,307	309	0.068%	\$182,848
7	Contra Costa	12,186	2.583%	\$8,021,159	11,995	2.641%	\$7,878,158	12,567	2.707%	\$7,833,446	12,421	2.715%	\$7,646,750	12,356	2.721%	\$7,466,552	12,609	2.790%	\$7,461,254
8	Del Norte	269	0.057%	\$177,063	269	0.059%	\$176,676	254	0.055%	\$158,327	280	0.061%	\$172,377	288	0.063%	\$174,034	260	0.058%	\$153,852
9	El Dorado	1,572	0.333%	\$1,034,734	1,678	0.369%	\$1,102,088	1,764	0.380%	\$1,099,562	1,856	0.406%	\$1,142,611	1,975	0.435%	\$1,193,464	2,047	0.453%	\$1,211,293
10	Fresno	14,546	3.083%	\$9,574,576	14,413	3.173%	\$9,466,268	14,891	3.207%	\$9,282,076	14,582	3.187%	\$8,977,128	14,558	3.206%	\$8,797,189	14,765	3.267%	\$8,737,046
11	Glenn	378	0.080%	\$248,810	365	0.080%	\$239,727	402	0.087%	\$250,581	421	0.092%	\$259,181	424	0.093%	\$256,217	437	0.097%	\$258,591
12	Humboldt	1,372	0.291%	\$903,088	1,365	0.300%	\$896,514	1,336	0.288%	\$832,775	1,223	0.267%	\$752,916	1,156	0.255%	\$698,554	1,157	0.256%	\$684,644
13	Imperial	2,924	0.620%	\$1,924,657	2,628	0.579%	\$1,726,036	2,958	0.637%	\$1,843,824	2,816	0.616%	\$1,733,616	2,846	0.627%	\$1,719,797	2,903	0.642%	\$1,717,822
14	Inyo	212	0.045%	\$139,544	176	0.039%	\$115,594	199	0.043%	\$124,044	170	0.037%	\$104,657	173	0.038%	\$104,541	195	0.043%	\$115,389
15	Kern	13,330	2.825%	\$8,774,172	12,874	2.834%	\$8,455,473	13,415	2.889%	\$8,362,034	13,054	2.853%	\$8,036,444	13,094	2.883%	\$7,912,515	13,402	2.965%	\$7,930,504
16	Kings	2,375	0.503%	\$1,563,290	2,256	0.497%	\$1,481,711	2,369	0.510%	\$1,476,680	2,392	0.523%	\$1,472,589	2,350	0.518%	\$1,420,071	2,388	0.528%	\$1,413,076
17	Lake	750	0.159%	\$493,671	714	0.157%	\$468,946	697	0.150%	\$434,464	787	0.172%	\$484,501	785	0.173%	\$474,364	800	0.173%	\$473,392
18	Lassen	301	0.064%	\$198,126	307	0.068%	\$201,634	328	0.071%	\$204,454	307	0.067%	\$188,999	332	0.073%	\$200,623	309	0.068%	\$182,848
19	Los Angeles	116,850	24.766%	\$76,913,874	110,167	24.253%	\$72,356,233	111,500	24.015%	\$69,501,811	108,213	23.653%	\$66,619,251	106,422	23.436%	\$64,309,274	103,592	22.921%	\$61,299,567
20	Madera	2,121	0.450%	\$1,396,100	2,076	0.457%	\$1,363,489	2,090	0.450%	\$1,302,769	2,250	0.492%	\$1,385,169	2,125	0.468%	\$1,284,107	2,252	0.488%	\$1,332,599
21	Marin	2,238	0.474%	\$1,473,113	2,122	0.467%	\$1,393,702	1,799	0.387%	\$1,121,379	1,764	0.386%	\$1,085,973	1,846	0.407%	\$1,115,511	1,796	0.397%	\$1,062,766
22	Mariposa	141	0.030%	\$92,810	154	0.034%	\$101,145	155	0.033%	\$96,654	157	0.034%	\$96,654	163	0.036%	\$98,499	170	0.038%	\$100,596
23	Mendocino	992	0.210%	\$652,962	896	0.197%	\$588,481	918	0.198%	\$572,221	976	0.213%	\$600,856	971	0.214%	\$586,761	927	0.205%	\$548,543
24	Merced	4,202	0.891%	\$2,765,872	3,870	0.852%	\$2,541,765	4,305	0.927%	\$2,683,456	4,438	0.970%	\$2,732,169	4,395	0.968%	\$2,655,835	4,585	1.014%	\$2,713,130
25	Modoc	90	0.019%	\$59,240	100	0.022%	\$65,679	105	0.023%	\$65,450	89	0.019%	\$54,791	103	0.023%	\$62,241	98	0.022%	\$57,991
26	Mono	148	0.031%	\$97,418	129	0.028%	\$84,725	137	0.030%	\$85,397	162	0.035%	\$99,732	151	0.033%	\$91,247	159	0.035%	\$94,087
27	Monterey	5,813	1.232%	\$3,826,276	5,887	1.296%	\$3,886,504	5,904	1.272%	\$3,886,504	5,650	1.235%	\$3,478,314	5,696	1.254%	\$3,442,010	5,610	1.241%	\$3,319,663
28	Napa	1,291	0.274%	\$849,772	1,206	0.265%	\$792,085	1,334	0.287%	\$831,528	1,313	0.287%	\$808,323	1,333	0.294%	\$805,513	1,370	0.303%	\$810,684
29	Nevada	797	0.169%	\$524,607	772	0.170%	\$507,039	832	0.179%	\$518,614	864	0.189%	\$531,905	910	0.200%	\$549,900	908	0.201%	\$537,300
30	Orange	37,395	7.926%	\$24,614,415	35,643	7.847%	\$23,409,852	37,250	8.023%	\$23,219,215	36,846	8.054%	\$22,683,531	35,887	7.903%	\$21,685,995	35,522	7.860%	\$21,019,801
31	Placer	3,691	0.782%	\$2,429,517	3,664	0.807%	\$2,406,467	3,792	0.817%	\$2,363,685	3,908	0.854%	\$2,405,885	4,178	0.920%	\$2,524,705	4,215	0.933%	\$2,494,186
32	Plumas	173	0.037%	\$113,873	168	0.037%	\$110,340	185	0.040%	\$115,317	191	0.042%	\$117,585	192	0.042%	\$117,816	216	0.048%	\$127,816
33	Riverside	29,880	6.333%	\$19,667,835	28,684	6.315%	\$18,839,273	30,001	6.462%	\$18,700,662	30,780	6.728%	\$18,949,115	31,036	6.835%	\$18,754,606	31,239	6.912%	\$18,485,377
34	Sacramento	19,206	4.071%	\$12,641,916	19,052	4.194%	\$12,513,102	19,362	4.170%	\$12,069,005	19,234	4.204%	\$11,841,042	19,099	4.206%	\$11,541,249	19,120	4.231%	\$11,314,076
35	San Benito	735	0.156%	\$483,797	772	0.170%	\$507,039	781	0.168%	\$486,824	744	0.163%	\$458,029	855	0.188%	\$516,664	839	0.186%	\$496,470
36	San Bernardino	29,708	6.297%	\$19,554,620	28,964	6.376%	\$19,023,173	30,354	6.538%	\$18,920,699	30,622	6.693%	\$18,851,845	30,728	6.767%	\$18,568,486	30,981	6.855%	\$18,332,708
37	San Diego	41,251	8.743%	\$27,152,539	40,008	8.808%	\$26,276,727	39,258	8.455%	\$24,470,871	38,469	8.409%	\$23,682,699	38,136	8.398%	\$23,045,033	37,881	8.382%	\$22,415,716
38	San Francisco	8,950	1.897%	\$5,891,135	8,690	1.913%	\$5,707,477	8,924	1.922%	\$5,562,638	8,603	1.880%	\$5,296,271	8,222	1.811%	\$4,968,436	7,995	1.769%	\$4,730,964
39	San Joaquin	9,929	2.104%	\$6,535,540	9,811	2.160%	\$6,443,735	10,010	2.156%	\$6,239,580	10,315	2.255%	\$6,350,231	10,471	2.306%	\$6,327,474	10,605	2.347%	\$6,275,406
40	San Luis Obispo	2,551	0.541%	\$1,679,138	2,433	0.536%	\$1,597,962	2,419	0.521%	\$1,507,846	2,431	0.531%	\$1,496,598	2,324	0.512%	\$1,404,360	2,472	0.547%	\$1,462,782
41	San Mateo	8,586	1.820%	\$5,651,541	8,326	1.833%	\$5,468,407	8,192	1.764%	\$5,106,357	7,729	1.689%	\$4,758,210	7,614	1.677%	\$4,601,030	7,528	1.666%	\$4,454,621
42	Santa Barbara	5,533	1.173%	\$3,641,972	5,256	1.157%	\$3,452,071	5,557	1.197%	\$3,463,871	5,572	1.218%	\$3,430,295	5,668	1.248%	\$3,425,090	5,667	1.254%	\$3,353,393
43	Santa Clara	22,137	4.692%	\$14,571,180	21,267	4.682%	\$13,967,885	20,871	4.495%	\$13,009,617	20,069	4.387%	\$12,355,094	19,483	4.290%	\$11,773,295	19,363	4.284%	\$11,457,868
44	Santa Cruz	2,661	0.564%	\$1,751,543	2,447	0.539%	\$1,607,157	2,460	0.530%	\$1,533,403	2,380	0.520%	\$1,465,201	2,363	0.520%	\$1,427,927	2,352	0.520%	\$1,391,773
45	Shasta	2,008	0.426%	\$1,321,721	1,961	0.432%	\$1,287,959	2,085	0.449%	\$1,299,653	2,044	0.447%	\$1,258,349	2,129	0.469%	\$1,286,524	2,121	0.469%	\$1,255,081
46	Sierra	34	0.007%	\$22,380	26	0.006%	\$17,076	32	0.007%	\$19,947	33	0.007%	\$20,316	33	0.007%	\$19,941	29	0.006%	\$17,160
47	Siskiyou	446	0.095%	\$293,569	438	0.096%	\$287,673	456	0.098%	\$284,241	441	0.096%	\$271,493	424	0.093%	\$256,217	476	0.105%	\$281,668
48	Solano	5,133	1.088%	\$3,378,681	5,039	1.109%	\$3,309,549	5,241	1.129%	\$3,266,897	5,264	1.151%	\$3,240,680	5,329	1.174%	\$3,220,238	5,279	1.168%	\$3,123,797
49	Sonoma	4,645	0.984%	\$3,057,466	4,525	0.996%	\$2,971,960	4,244	0.914%	\$2,645,432	4,121	0.901%	\$2,537,014	4,047	0.891%	\$2,445,544	3,932	0.870%	\$2,326,723
50	Stanislaus	7,443	1.578%	\$4,899,187	7,339	1.616%	\$4,820,158	7,552	1.627%	\$4,707,423	7,607	1.663%	\$4,683,103	7,691	1.694%	\$4,647,560	7,687	1.701%	\$4,548,708
51	Sutter	1,263	0.268%	\$831,341	1,266	0.279%	\$831,492	1,383	0.298%	\$862,072	1,438	0.314%	\$885,277	1,589	0.350%	\$960,210	1,602	0.354%	\$947,968
52	Tehama	743	0.157%	\$489,063	731	0.161%	\$480,111	802	0.173%	\$499,914	841	0.184%	\$517,745	798	0.176%	\$482,220	877	0.194%	\$518,956
53	Trinity	126	0.027%	\$82,937	113	0.025%	\$74,217	127	0.027%	\$79,163	137	0.030%	\$84,341	140	0.031%	\$84,600	131	0.029%	\$77,518
54	Tulare	7,131	1.511%	\$4,693,820	6,900	1.519%	\$4,531,829	7,315	1.575%	\$4,559,693	7,040	1.539%	\$4,334,040	7,130	1.570%	\$4,308,556	7,149	1.582%	\$4,230,352
55	Tuolumne	470	0.100%	\$309,367	450	0.099%	\$295,554	473	0.102%	\$294,837	474	0.104%	\$291,809	477	0.105%	\$288,244	468	0.104%	\$276,934
56	Ventura	9,321	1.976%	\$6,135,338															

First 5 Santa Clara County

FY 2020/21
Third Party Grants

Attachment 4.2E

Third Party Grant	Program	FY 18-19 Actual	FY 19-20 Estimated	FY 20-21 Projected
ACEs Aware	Trauma Informed	\$ -	\$ -	\$ 460,000.00
California Department of Education	Infant/Toddler QRIS BG R2	\$ 118,727.00	\$ -	\$ -
California Department of Education	Quality Counts BG R1	\$ 526,481.00	\$ 58,498.00	\$ -
California Department of Education	Quality Counts BG R2	\$ -	\$ 525,000.00	\$ -
California Department of Education	Equitable Learning Opportunities	\$ -	\$ 220,231.00	\$ -
California Department of Education	QCC Local Consortia & Partnershp Gr	\$ -	\$ -	\$ 3,149,987.00
California Family Resource Center Association	COVID-19 Emergency Funds	\$ -	\$ 46,158.00	\$ -
California Wellness Foundation	COVID-19 Emergency Funds	\$ -	\$ 10,000.00	\$ -
City of San José	Recreational Pre-School Pilot	\$ -	\$ 50,260.00	\$ -
City of San José	Gen2Gen	\$ -	\$ -	\$ 177,600.00
City of San José	Emergency Operations Center	\$ -	\$ 511,347.00	\$ -
City of San José	Community Development Block Grant	\$ -	\$ 400,000.00	\$ -
City of San José	FRC Enhancements	\$ -	\$ -	\$ 50,000.00
County of Santa Clara, County Executive Office	Universal Access Pilot	\$ 305,872.54	\$ 753,000.00	\$ -
County of Santa Clara, County Executive Office	Supervisor Ellenberg Inventory Item	\$ -	\$ -	\$ 105,000.00
County of Santa Clara, County Executive Office	Incarcerated Parents Expansion	\$ -	\$ 86,112.00	\$ 413,888.00
County of Santa Clara, Public Health Department	Home Visitation	\$ -	\$ 200,000.00	\$ 200,000.00
County of Santa Clara, Social Services Agency	myTeachstone & 10 Steps	\$ 200,000.00	\$ -	\$ -
County of Santa Clara, Social Services Agency	Diaper Kit Project	\$ -	\$ -	\$ 150,000.00
David & Lucile Packard Foundation	(2019-68854) FRC Enhancements	\$ -	\$ 67,366.00	\$ 432,634.00
David & Lucile Packard Foundation	(2019-69585) Gen2Gen Program	\$ -	\$ -	\$ 200,000.00
David & Lucile Packard Foundation	COVID-19 Emergency Funds	\$ -	\$ 100,000.00	\$ -
David & Lucile Packard Foundation	(2018-67398) AACSA FRC	\$ 250,000.00	\$ -	\$ -
First 5 California	Dual Language Learners	\$ -	\$ 170,000.00	\$ 73,323.00
First 5 San Francisco	IMPACT Regional HUB	\$ 166,503.00	\$ 165,000.00	\$ 111,124.00
Franklin McKinley School District	Staff at Seven Trees	\$ 100,000.00	\$ 100,000.00	\$ 44,115.00
ParentChild+	Home Visitation	\$ -	\$ 250,000.00	\$ 150,000.00
ParentChild+	Emergency Funds	\$ -	\$ 32,000.00	\$ -
Prop 10 Special Allocation-Educare	Educare	\$ 283,634.00	\$ -	\$ -
Prop 10 special allocation-IMPACT	IMPACT	\$ 1,696,062.00	\$ 1,279,743.00	\$ -
Prop 10 Special Allocation-IMPACT	Incentive Layer Funds	\$ -	\$ -	\$ 479,500.00
Rainin Foundation	Graphic Designer	\$ -	\$ -	\$ 75,000.00
Santa Clara County Emergency Operations Center	COVID-19 Emergency Funds	\$ -	\$ 116,000.00	\$ -
Santa Clara County Office of Education - California	CSPP-QRIS BG R4	\$ 149,085.00	\$ -	\$ -
Santa Clara County Office of Education - California	CSPP-QRIS BG R5	\$ 1,337,701.50	\$ 148,633.50	\$ -
Santa Clara County Office of Education - California	CSPP-QRIS BG R6	\$ -	\$ 1,385,000.00	\$ -
Santa Clara Family Health Plan	COVID-19 Emergency Funds	\$ -	\$ 50,000.00	\$ -
Silicon Valley Community Foundation	Raising-A-Reader	\$ 20,000.00	\$ -	\$ -
Sunlight Giving	FRC Enhancements	\$ 400,000.00	\$ 155,000.00	\$ 445,000.00
Sunlight Giving	COVID-19 Emergency Funds	\$ -	\$ 50,000.00	\$ -
Superior Court of California, County of Santa Clara	SAMHSA - Dependency Wellness Coui	\$ 84,000.00	\$ 94,000.00	\$ 84,000.00
Total Third Party Grants		\$ 5,638,066.04	\$ 7,023,348.50	\$ 6,341,171.00

RESOLUTION NO. 20-14

**A RESOLUTION OF THE FIRST 5 SANTA CLARA COUNTY COMMISSION
ADOPTING THE BUDGET FOR COMMUNITY INVESTMENT GRANT
AWARDS AND APPROPRIATING REVENUE FOR FISCAL YEAR (FY)
2020/21 AND FINDING THAT FIRST 5 SANTA CLARA COUNTY IS IN
COMPLIANCE WITH THE ANNUAL BUDGET POLICY**

WHEREAS, On October 12, 2017, the FIRST 5 Santa Clara County Commission adopted an Annual Budget Policy by Resolution No. 17-01.

WHEREAS, in accordance with Resolution No. 17-01, Annual Budget Policy, the Chief Executive Officer has prepared and submitted to the FIRST 5 Santa Clara County Commission a proposed budget for FY 2020/21, starting July 1, 2020; and utilizing the most accurate available income estimates and the most feasible combination of expenditure classification by fund, organization unit, program, purpose or activity and object;

NOW, THEREFORE, the FIRST 5 Santa Clara County Commission does hereby resolve, determine and order as follows:

SECTION 1. That certain document, which includes all revenues and appropriations on file in the office of the Chief of Finance and Administration, being marked and designated "Fiscal Year (FY) 2020/21 Community Investment Grant Awards," as prepared by Chief Executive Officer and amended by the FIRST 5 Santa Clara County Commission is adopted for the fiscal year commencing July 1, 2020;

SECTION 2. The following sums of money are hereby appropriated from the revenue of FIRST 5 Santa Clara County to the following named programs and activities of FIRST 5 Santa Clara County for expenditure during the FY 2020/21; and

SECTION 3. From and after the effective date of the budget, the several amounts stated therein as proposed expenditures, shall be deemed appropriated to the several departments, offices and agencies for the respective objects and purposes therein stated. All appropriations shall lapse at the end of the fiscal year to the extent that they shall not have been expended or lawfully encumbered.

BUDGET APPROPRIATIONS

PROGRAM	TOTAL EXPENDITURES
Community Investments Grant Awards	\$ 21,955,160

SECTION 4. The budget as approved shall be filed with the office of the Chief of Finance and Administration, and copies shall be made available for public review.

APPROVED AND ADOPTED at a regular meeting of the FIRST 5 Santa Clara County Commission held on this 18th day of June, 2020 by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

Chairperson

APPROVED AS TO FORM:

Deputy County Counsel

	Approved FY 2019/20	Proposed FY 2020/21
Communications		
Community Events & Sponsorships	\$ 115,000	\$ 115,000
†Census Mini Grant	\$ 4,500	\$ -
International Children Assistance Network - Happy Radio	\$ 48,750	\$ 48,750
Communications Total	\$ 168,250	\$ 163,750
Community of Learning		
Community of Learning Training	\$ 350,000	\$ 120,650
National Fatherhood - 24/7 Dad	\$ -	\$ 30,000
The Pathfinder Network - Parenting Inside Out	\$ -	\$ 9,350
Tides Center - Abriendo Puertas	\$ -	\$ 40,000
Touchpoints - Supersite	\$ -	\$ 150,000
Touchpoints - Local Trainer Stipend Contracts	\$ -	\$ 12,000
Strengthening Families - Virtual Training	\$ -	\$ 30,000
ASQ-3 and ASQ-SE - Virtual Training	\$ -	\$ 30,000
Grail Family Services - SEEDS Coach	\$ 65,000	\$ 65,000
Grail Family Services - Building Blocks of Parenting App	\$ 100,000	\$ 100,000
*†MyTeachstone - Stipends & Devices	\$ 251,562	\$ 143,800
†Teachstone Training, LLC.	\$ 190,465	\$ 180,000
Parents Helping Parents - Special Needs Workshops	\$ 68,836	\$ 68,836
Raising-A-Reader	\$ 20,000	\$ -
Santa Clara University - Intern Program	\$ 15,000	\$ 15,000
*†SEEDS of Early Literacy - FCCH Stipends	\$ 302,985	\$ 50,000
*Kathleen Horst - SEEDS Author	\$ 152,200	\$ 150,000
SEEDS of Early Literacy - FCCH Training Contracts	\$ -	\$ 10,000
†Instructional Design - Graphic Designer	\$ -	\$ 75,000
^City of San José, Recreation Pre-K - SEEDS of Early Literacy	\$ -	\$ 400,000
†Trauma Transformed - ACES Aware	\$ -	\$ 100,000
†Brazelton Touchpoints - ACES Aware	\$ -	\$ 50,000
†Instructional Design - ACES Aware	\$ -	\$ 100,000
†Virtual Event Coordination - ACES Aware	\$ -	\$ 100,000
†Video Production & Printing - ACES Aware	\$ -	\$ 60,000
*†Applied Survey Research - SEEDS Parks & Recs /ACES Aware	\$ 20,780	\$ 50,000
Andrade, Cathy - Assessment Services	\$ 60,000	\$ -
Community of Learning Total	\$ 1,596,828	\$ 2,139,636
Health & Wellness		
Gardner Family Health Network - Screeners	\$ 385,360	\$ 385,360
Gardner Family Health Network - Oral Health: South County	\$ 65,810	\$ 65,810
Healthier Kids Foundation - PEIHS (Baby Gateway, Screenings, 10 Steps)	\$ 1,119,465	\$ 1,119,465
*Healthier Kids Foundation - Oral Health Education	\$ 118,000	\$ 118,000
Roots Clinic - Families First Care Coordination & FRC Services	\$ 681,240	\$ 681,240
SPUR - Double Up Food Bucks	\$ 97,320	\$ 97,320
Santa Clara County Public Health Department / Lactation Promotion	\$ 159,808	\$ 159,808
SCVHSS Behavioral Health Services Department / KidConnections	\$ 2,129,406	\$ 2,129,406
The VMC Foundation - Women & Children's Center	\$ 850,000	\$ -
The VMC Foundation - NICU	\$ 407,829	\$ 407,829
Water Bottle Filling Stations for Partners	\$ 50,000	\$ 50,000
Western Dental - Oral Health: Sedation	\$ 131,988	\$ 131,988
Roots Clinic - Medically Tailored Meals	\$ -	\$ 75,000
Health & Wellness Total	\$ 6,196,226	\$ 5,421,226
Courts & Child Welfare		
Santa Clara County Public Health Department	\$ 718,998	\$ 718,998
Superior Court of California, County of Santa Clara	\$ 268,981	\$ 268,981
Child Advocates of Silicon Valley - Early Childhood CASA	\$ 300,000	\$ 300,000
Courts & Child Welfare Total	\$ 1,287,979	\$ 1,287,979

*FY19/20 Funded or partially funded by Third Party Revenue

†FY20/21 Funded or partially funded by Third Party Revenue

^These proposed amounts from partners may be reduced due to the pandemic and changes to program start dates.

FY 2020/21 Proposed Community Investment Grant Awards - Comparison and Recommendation
June 18, 2020

	Approved FY 2019/20	Proposed FY 2020/21
Early Learning		
*†CDE QRIS Block Grant Awards	\$ 792,414	\$ 975,400
*†CDE QRIS Block Grant Incentives	\$ 314,538	\$ 236,687
*†CDE QRIS Quality Improvement Activities	\$ 243,687	\$ -
*†Early Quality Assessments, Inc. - iPinwheel	\$ 220,000	\$ 184,000
ECSV - Shared Use Agreement	\$ 45,898	\$ 50,000
*ECSV - Admin Support Staff	\$ 25,000	\$ 25,000
*Grail Family Services - Family Engagement Trainings	\$ 100,000	\$ -
*Pacific Oaks College - Educational Pathways	\$ 25,000	\$ -
†City of San José, Public Library - Early Education Workforce Development P	\$ -	\$ 100,000
SCCOE - DataZone	\$ 201,180	\$ 166,741
*†SCCOE-Inclusion Collaborative - Warm Line	\$ 81,100	\$ 120,000
*†SCCOE-Inclusion Collaborative - Professional Development	\$ 115,000	\$ 120,000
*SCCOE-Inclusion Collaborative - Inclusive Classroom Profile	\$ 44,000	\$ -
*†WestEd - Assessment & Anchoring Services	\$ 479,000	\$ 226,500
*†WestEd/E3 Institution - Rating & Stipend Management	\$ 1,336,804	\$ 1,274,582
*The Regents of the University of CA, Berkeley - Gauging Growth	\$ 14,000	\$ -
*FCCHP for City of San Jose - COVID-19 Emergency Response	\$ 175,000	\$ -
*†Gen2Gen Community Investment	\$ 95,350	\$ 188,800
*†Dual Language Learner Incentives	\$ 106,160	\$ 107,700
*Applied Survey Research - Universal Access Pilot	\$ 86,750	\$ -
†COVID-19 Relief Activities	\$ -	\$ 479,500
Early Learning Total	\$ 4,500,881	\$ 4,254,910
Family Strengthening & Support		
*†African American Community Services Agency - FRC	\$ 443,300	\$ 443,300
*†§Catholic Charities - FRC's	\$ 4,175,254	\$ 4,175,254
Catholic Charities - FRC Relocation	\$ 120,000	\$ -
^*Catholic Charities - CalWORKs Home Visitation Initiative	\$ 365,000	\$ 365,000
City of San Jose - San Jose Public Library: Bridge Library Services	\$ 72,990	\$ 72,990
Community Health Awareness Council - FRC	\$ 528,141	\$ 528,141
Conxion to Community - Homeless Children & Families	\$ 250,000	\$ 250,000
County of Santa Clara - Library System	\$ 75,000	\$ 75,000
†Franklin McKinley FRC - Shirakawa Elementary School	\$ -	\$ 250,000
Gardner Family Care Corporation - FRC & Court Staff	\$ 484,024	\$ 484,024
International Children Assistance Network - FRC	\$ 94,887	\$ 94,887
California Native Garden Foundation - FRC Garden Installation	\$ 75,000	\$ -
Rebekah Children's Services - FRC	\$ 580,804	\$ 580,804
Somos Mayfair - FRC (Cesar Chavez)	\$ 325,779	\$ 325,779
^*Somos Mayfair - CalWORKs Home Visitation Initiative	\$ 365,000	\$ 365,000
*Somos Mayfair - Painter FRC (UAP)	\$ 305,510	\$ 305,510
The Health Trust - Housing Navigation	\$ 316,290	\$ 344,290
*Applied Survey Research - CalWORKs HVP	\$ 21,500	\$ -
Palo Alto Zoo - ECE Science Program	\$ 15,000	\$ 15,000
Guadalupe River Park Conservancy - BEE Program	\$ 10,000	\$ -
*†Bring Me a Book Foundation	\$ 12,680	\$ 12,680
Family Strengthening & Support Total	\$ 8,636,159	\$ 8,687,659
Grand Total	\$ 22,386,323	\$ 21,955,160

*FY19/20 Funded or partially funded by Third Party Revenue

†FY20/21 Funded or partially funded by Third Party Revenue

^These proposed amounts from partners may be reduced due to the pandemic and changes to program start dates.



FIRST 5 SANTA CLARA COUNTY COMMISSION WORKSHOP/MEETING

Tracey L. Hause, Chief
of Finance and
Administration

Meeting Date: June 18, 2020 **Submitted By:** _____

Action: X **Discussion:** _____

Selection Process: RFP _____ RFQ _____ Sole Source _____ Not Applicable X

TITLE:

Consider Adoption of Proposed FY 2020/21 Community Investment Budget

RECOMMENDED ACTIONS:

- a) Approve the proposed FY 2020/21 Community Investment grant award recommendations specified in the Proposed FY 2020/21 Community Investment Grant Award Recommendations; and
- b) Delegate of authority to Chief Executive Officer to negotiate, execute, and amend grant award agreements up to the amounts specified in the Proposed FY 2020/21 Community Investment Grant Award Recommendations.

SUMMARY OF REQUEST:

The proposed FY 2020/21 Community Investment Budget (Grant Awards) Summary and Detail lists organizations and service descriptions for community investment contracts.

The proposed FY 2020/21 Community Investment Budget (Grant Awards) are in alignment with the 10-Year Sustainability Plan update and proposed FY 2020/21 Community Investment Budget, as presented in Item 4.2, Attachment G.

BACKGROUND:

Upon the approval of the 10-Year Sustainability Plan update and the proposed FY 2020/21 Community Investment Budget, the approval of the proposed Community Investment Budget (Grant Award) initiates the contract development process.

Draft service descriptions summarize services provided. Scopes of services may be modified based on identified needs of the community.

All proposed community investment grant award contracts and services are in alignment with FIRST 5's Strategic Plan and will adhere to FIRST 5's Core Values and Principles on Equity.

FISCAL IMPACT:

Funding amounts for proposed Community Investment Budget (Grant Award) are in alignment with the update to the 10-Year Sustainability Plan in an amount not to exceed \$21,955,160.

ATTACHMENT:

Refer to 4.2, Attachment G



Proposed FY 2020/21 FIRST 5 Budget

FIRST 5 Santa Clara County
June 9, 2020



Emerging Budget Issues During COVID-19

- The proposed FY 2020/21 Budget will be focused on the response to COVID-19, at least in the foreseeable future.
- FIRST 5 Santa Clara County's (FIRST 5) will continue to focus, along with our partners, to responding to these unprecedented times.
- Dedicated to minimizing impact to our partners.

Emerging Budget Issues During COVID-19

Continued

- All grantees and partners that provide services in the Community have adapted their services in response to COVID-19.
- All changes in scope are documented and included in all contract files.
- First 5 has applied and received fund through the Paycheck Protection Program (PPP)

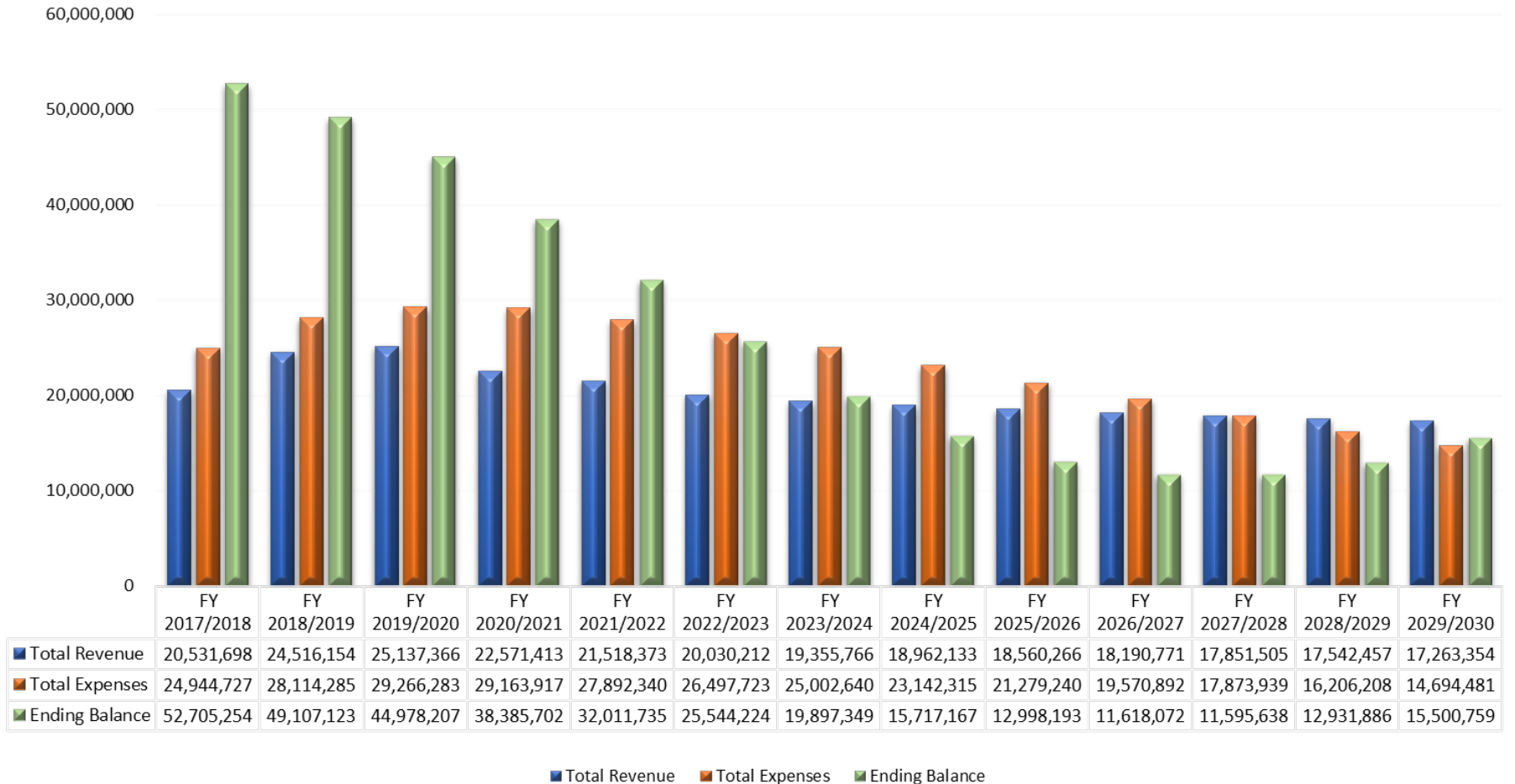
FY 2020/21 Budget Approach

- Proposing the same spending levels as FY 2019/20 for both Community Investments and Operations, including budget adjustments that were authorized during this fiscal year.
- Proposing extension of all contracts to grantees and partners for the next fiscal year.
- This recommendation will ensure consistency to our partner and grantees with the delivery of services in these uncertain times.
- A revised 10-Year Sustainability Plan presented ensures FIRST 5 will remain viable in the ensuing years.

FY 2020/21 Budget Approach continued

- Estimated the expected revenues and expenditures for FY 2019/20 in order to calculate our current reserves.
- Established comprehensive estimates of the revenues and expenditures for FY 2020/29
- With more accurate estimates and a more gradual reduction in spending, a balanced budget is now forecasted through FY 2029/30.
- Focused on minimizing impact to our partners.

Proposed 10-Year Sustainability Plan



Revenue

- The forecast for Proposition 10 revenues FIRST 5 Santa Clara:
 - FY 2017/18: \$13,597,197 (Actual)
 - FY 2018/19: \$14,234,779 (Actual)
 - FY 2019/20: \$14,571,180 (Estimated)
 - FY 2020/21: \$13,967,885 (Estimated)
 - FY 2021/22: \$13,009,617 (Estimated)
 - FY 2022/23: \$12,355,094 (Estimated)
 - FY 2023/24: \$11,773,295 (Estimated)
 - FY 2024/25: \$11,459,868 (Estimated)

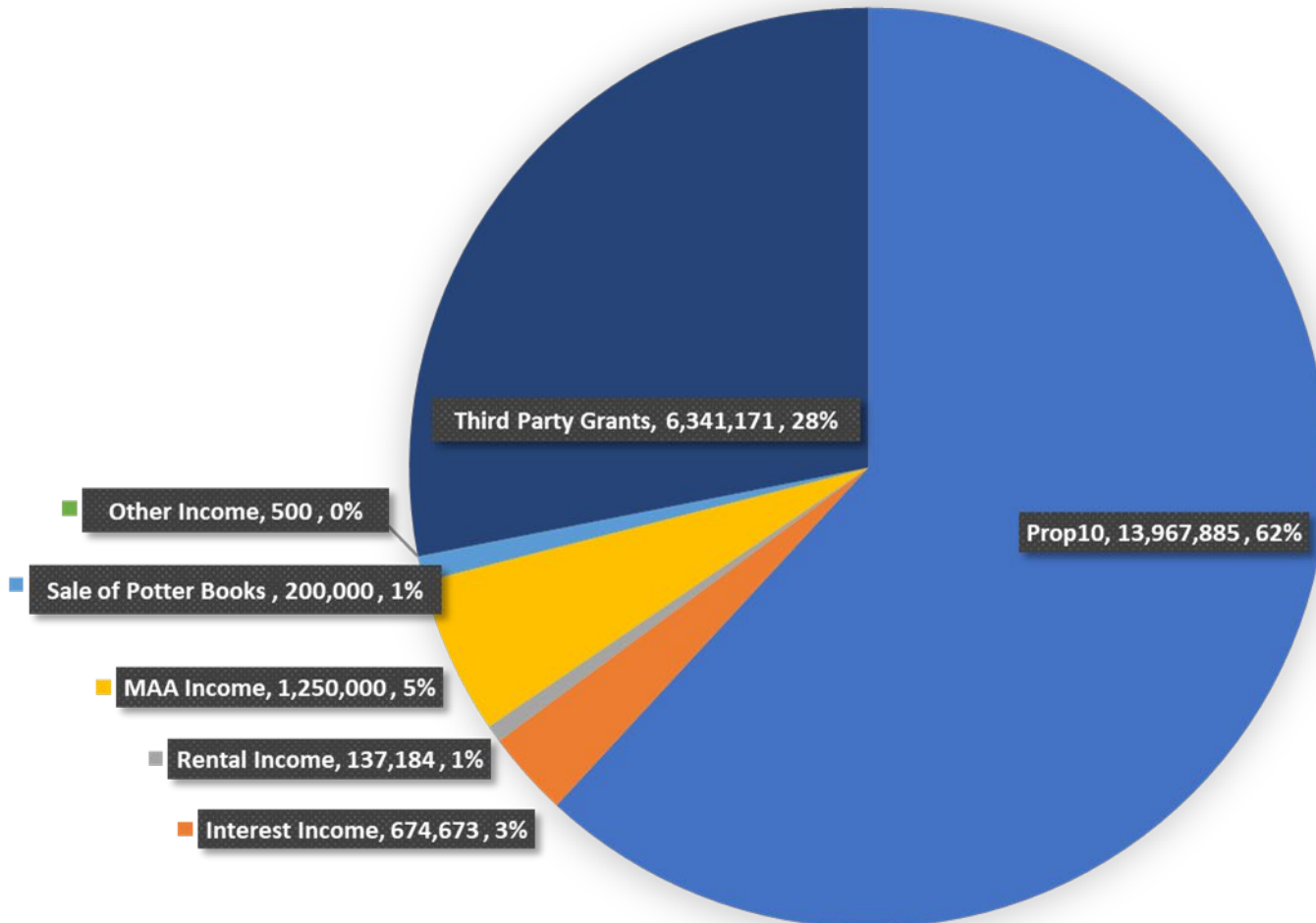
Estimated revenues after FY 2025/26 assumes a decline in revenue, decline will return to its historical average of approximately 3%.

Proposed FY 2020/21 Revenue

- Total projected revenue for FY 2020/21 is \$22.5 million:
 - \$13,967,885 million in Proposition 10 tobacco revenue;
 - \$6,341,171 in Third Party Grants and Revenue;
 - \$1,250,000 in Medi-Cal Administrative Activities (MAA);
 - \$337,684 in Other Revenues; and
 - \$674,673 in Interest Income.

Proposed FY 2020/21 Revenue

Total: \$22,571,413

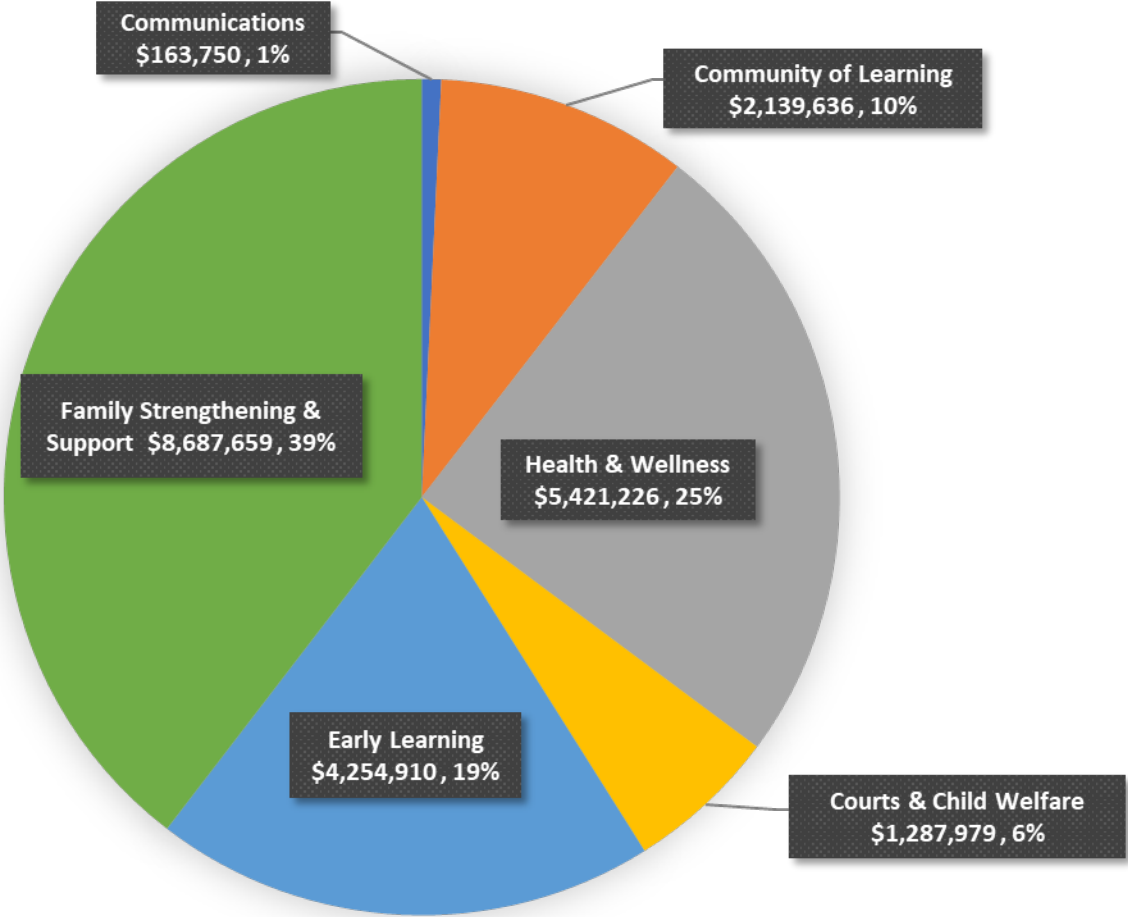


Budget Framework

- FY 2020/21 Proposed Budget
 - Community Investments (67% of total budget)
 - Early Learning
 - Family Strengthening & Support
 - Health and Wellness
 - Community of Learning
 - Communications
 - Program/Evaluation/Administration (33% of total budget)
 - Staff salaries and benefits
 - Services and supplies

FY 2020/21 Community Investment Budget

Total: \$21,955,160



Operating Highlights

- Administrative costs are \$2,932,569 or approximately 9.0% of the total budget (within policy parameters of 10%).
- Staff Positions – No new positions are recommended at this time.

Summary

1. Proposition 10 tobacco tax revenue historically has been declining.
2. In accordance with Commission directions, the fund reserves are declining as funds continue to be invested in community programs and services.
3. FIRST 5 Vision, Mission, Priority Areas and Goals are in alignment with the FIRST 5 Strategic Plan.
4. The FY 2020/21 Budget provides the resources framework and flexibility to execute program requirements for the future and the ability to address COVID-19 related activities.
5. The updated 10-Year Sustainability Plan will be incorporated into the FIRST 5 Strategic Plan.
6. Generating additional revenues (i.e., MAA and Third Party Grants and revenues) to support continued investments in the community.

Budget Review Process and Next Steps

- June 9, 2020 – Issues Committee
- June 10, 2020 – Program and Evaluation Committee
- June 11, 2020 – Personnel and Finance Committee
- June 18, 2018 – Commission Meeting